

Fill in this information to identify the case:

Debtor Lordstown Motors Corp.

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 23-10831

Official Form 410

Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

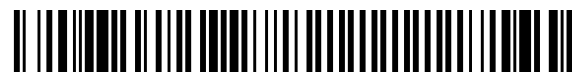
Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor?	<u>Aashish Surti</u> Name of the current creditor (the person or entity to be paid for this claim)	
	Other names the creditor used with the debtor _____	
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent? <u>Aashish Surti</u> <u>100 Live Oak Way</u> <u>#101</u> <u>Belmont, CA 94002, USA</u> Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	Where should payments to the creditor be sent? (if different) Contact phone <u>714-393-8670</u> Contact email <u>aashish25@gmail.com</u>
	Contact phone _____ Contact email _____ Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____	
4. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY	
5. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor?

☒ No

☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: ____ ____ ____ ____

7. How much is the claim?

\$ 1817.68

. Does this amount include interest or other charges?

☒ No

☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. **What is the basis of the claim?**

Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.

Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).

Limit disclosing information that is entitled to privacy, such as health care information.

Stock owned

9. Is all or part of the claim secured?

☒ No

☐ Yes. The claim is secured by a lien on property.

Nature or property:

☐ Real estate: If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.

☐ Motor vehicle

☐ Other. Describe:

Basis for perfection:

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property:

\$_____

Amount of the claim that is secured:

\$_____

Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$_____

Annual Interest Rate (when case was filed) _____ %

☐ Fixed

☐ Variable

10. Is this claim based on a lease?

☒ No

☐ Yes. Amount necessary to cure any default as of the date of the petition. \$_____

11. Is this claim subject to a right of setoff?

☒ No

☐ Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☒ No

☐ Yes. Check all that apply:

☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

Amount entitled to priority

\$ _____

☐ Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ _____

☐ Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ _____

☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ _____

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$ _____

☐ Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?

☒ No

☐ Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☒ I am the creditor.

☐ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 10/06/2023
MM / DD / YYYY

/s/Aqshish Surti
Signature

Print the name of the person who is completing and signing this claim:

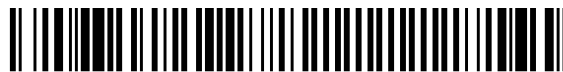
Name Aashish Surti
First name Middle name Last name

Title _____

Company _____
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (877) 709-4757 | International 424-236-7235

Debtor: 23-10831 - Lordstown Motors Corp.		
District: District of Delaware		
Creditor: Aashish Surti 100 Live Oak Way #101 Belmont, CA, 94002 USA Phone: 714-393-8670 Phone 2: Fax: Email: aashish25@gmail.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: No Related Claim Filed By:	
	Filing Party: Creditor	
	Other Names Used with Debtor:	
Amends Claim: No Acquired Claim: No		
Basis of Claim: Stock owned	Last 4 Digits: No	Uniform Claim Identifier:
Total Amount of Claim: 1817.68	Includes Interest or Charges: No	
Has Priority Claim: No	Priority Under:	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: Aashish Surti on 06-Oct-2023 1:45:13 a.m. Eastern Time Title: Company:		

Unrealized Gain/Loss for

All Accounts

All Accounts Total

\$1,125,546.55

+ \$20,336.65 +1.84%

Dow 33,129.55 +127.17 +0.39% NASDAQ 13,236.01 +176.54 +1.35% S&P 500 4,263.75 +34.30 +0.81% 10 Year T-Note 4.73 +0.00 +0.05% Real-time quotes

Group by ☒ Account ☐ Product Class

As of 10/04/2023 08:58 PM ET

CMA-Edge 5F7-53Z21					Value	Unrealized Gain/Loss	
					\$1,125,546.55	+ \$515,810.90	+ \$84.99%
Symbol	Quantity	Unit Cost	Cost Basis	Price	Value	Unrealized Gain/Loss \$ Chg	% Chg
AAL	130	\$14.12	\$1,835.10	\$12.73	\$1,654.90	-\$180.20	-9.82%
AAPL	3,367	\$68.01	\$228,986.45	\$173.66	\$584,713.22	+\$355,726.77	+155.35%
ABNB	10	\$100.00	\$1,000.00	\$127.41	\$1,274.10	+\$274.10	+27.41%
AMC	133	\$130.55	\$17,362.99	\$8.34	\$1,109.17	-\$16,253.82	-93.61%
AMGN	369	\$96.87	\$35,745.99	\$265.44	\$97,947.36	+\$62,201.37	+174.01%
	9	--	--	--	\$2,388.96	--	--
AMZN	30	\$142.33	\$4,270.00	\$127.00	\$3,810.00	-\$460.00	-10.77%
ATVI	30	\$63.29	\$1,898.80	\$93.75	\$2,812.50	+\$913.70	+48.12%
BA	85	\$243.24	\$20,675.00	\$186.73	\$15,872.05	-\$4,802.95	-23.23%
BABA	60	\$263.00	\$15,780.00	\$84.08	\$5,044.80	-\$10,735.20	-68.03%
BLNK	80	\$42.75	\$3,420.00	\$3.18	\$254.40	-\$3,165.60	-92.56%
BYND	80	\$58.38	\$4,670.00	\$8.48	\$678.40	-\$3,991.60	-85.47%
CHPT	40	\$26.25	\$1,050.00	\$4.40	\$176.00	-\$874.00	-83.24%
CVX	60	\$72.50	\$4,350.00	\$163.04	\$9,782.40	+\$5,432.40	+124.88%
DIS	60	\$83.00	\$4,980.00	\$79.32	\$4,759.20	-\$220.80	-4.43%
DVN Executed Buy	135	\$47.06	\$6,353.53	\$43.35	\$5,852.25	-\$501.28	-7.89%
DWAC	80	\$25.25	\$2,020.00	\$15.90	\$1,272.00	-\$748.00	-37.03%
FRCB	100	\$12.50	\$1,250.00	\$0.06	\$6.00	-\$1,244.00	-99.52%
FSR	40	\$12.00	\$480.00	\$6.32	\$252.80	-\$227.20	-47.33%
GME	8	\$86.60	\$692.79	\$14.87	\$118.96	-\$573.83	-82.83%
GOEV	190	\$8.89	\$1,689.00	\$0.4301	\$81.71	-\$1,607.29	-95.16%
GOOG	70	\$100.99	\$7,069.43	\$136.27	\$9,538.90	+\$2,469.47	+34.93%
GRWG	40	\$63.25	\$2,530.00	\$2.77	\$110.80	-\$2,419.20	-95.62%
HD	30	\$158.33	\$4,750.00	\$292.39	\$8,771.70	+\$4,021.70	+84.67%
LCID	220	\$25.07	\$5,515.00	\$5.56	\$1,223.20	-\$4,291.80	-77.82%
META	105	\$182.43	\$19,155.00	\$305.58	\$32,085.90	+\$12,930.90	+67.51%
NFLX	135	\$438.59	\$59,210.00	\$376.90	\$50,881.50	-\$8,328.50	-14.07%
NIO	120	\$32.75	\$3,930.00	\$8.83	\$1,059.60	-\$2,870.40	-73.04%
NKLA	100	\$10.00	\$1,000.00	\$1.42	\$142.00	-\$858.00	-85.80%
NOK	200	\$9.55	\$1,910.70	\$3.62	\$724.00	-\$1,186.70	-62.11%
NVAX	175	\$146.78	\$25,687.20	\$7.33	\$1,282.75	-\$24,404.45	-95.01%
NVO	50	\$91.07	\$4,553.70	\$89.04	\$4,452.00	-\$101.70	-2.23%
OPI	112	\$48.62	\$5,445.57	\$3.95	\$442.40	-\$5,003.17	-91.88%
PFE	20	\$33.64	\$672.89	\$33.31	\$666.20	-\$6.69	-0.99%
QS	100	\$48.80	\$4,880.00	\$6.52	\$652.00	-\$4,228.00	-86.64%
RAD	50	\$44.30	\$2,215.00	\$0.53	\$26.50		

Symbol	Quantity	Unit Cost	Cost Basis	Price	Value	Unrealized Gain/Loss	
						-\$2,188.50 % Chg	-98.80% % Chg
RBLX	30	\$33.33	\$1,000.00	\$29.59	\$887.70	-\$112.30	-11.23%
RIDEQ	18	\$102.33	\$1,841.98	\$1.35	\$24.30	-\$1,817.68	-98.68%
RIVN	130	\$44.23	\$5,750.00	\$23.69	\$3,079.70	-\$2,670.30	-46.44%
SIL	10	\$48.43	\$484.29	\$22.77	\$227.70	-\$256.59	-52.98%
SLDP	100	\$5.00	\$500.00	\$1.89	\$189.00	-\$311.00	-62.20%
SLV	10	\$26.74	\$267.39	\$19.31	\$193.10	-\$74.29	-27.78%
SNDL	120	\$21.61	\$2,593.00	\$1.71	\$205.20	-\$2,387.80	-92.09%
SPCE	1,100	\$2.18	\$2,400.00	\$1.62	\$1,782.00	-\$618.00	-25.75%
SPG	40	\$150.75	\$6,030.00	\$104.44	\$4,177.60	-\$1,852.40	-30.72%
SPXU	80	\$64.44	\$5,155.00	\$12.17	\$973.60	-\$4,181.40	-81.11%
TLRY	158	\$35.02	\$5,533.50	\$2.22	\$350.76	-\$5,182.74	-93.66%
TNDM	120	\$55.03	\$6,603.40	\$20.35	\$2,442.00	-\$4,161.40	-63.02%
TPB	20	\$56.00	\$1,120.00	\$22.84	\$456.80	-\$663.20	-59.21%
TSLA	950	\$51.93	\$49,332.00	\$261.16	\$248,102.00	+\$198,770.00	+402.92%
TTCFQ	20	\$21.00	\$420.00	\$0.0260	\$0.52	-\$419.48	-99.88%
UBER	189	\$33.81	\$6,390.00	\$44.94	\$8,493.66	+\$2,103.66	+32.92%
VTRS	2	\$14.95	\$29.91	\$9.70	\$19.40	-\$10.51	-35.14%
X	20	\$22.62	\$452.40	\$32.61	\$652.20	+\$199.80	+44.16%
ZM	10	\$330.00	\$3,300.00	\$66.06	\$660.60	-\$2,639.40	-79.98%
ZVIA	140	\$4.93	\$690.00	\$2.06	\$288.40	-\$401.60	-58.20%
Balances							
Money accounts							
Executed Tranx	1,749	--	--	\$1.00	\$1,749.00	--	--
Cash balance					-\$1,843.64		
Pending activity					\$514.32		
Total					\$1,125,546.55	+\$515,810.90	+84.99%

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(VA12*****7V)

Aashish Surti

Last 4 of Social: 7884

Date of Birth July 25 1985

Merill Edge account # CMA-Edge 5F7-53Z21

Below shows stock owned including cost basis and unrealized loss of \$1817.68

RIDEQ		18	\$102.33	\$1,841.98	\$1.35	\$24.30	-\$1,817.68	-98.68%	--
CMA-Edge 5F7-53Z21									
Acquisition Date	Quantity	Unit Cost	Cost Basis	Price	Value	Unrealized Gain/Loss			
						\$ Chg	% Chg		
01/14/2022 (Long Term)	6.6667	\$45.00	\$300.00	\$1.35	\$9.00	-\$291.00	-97.00%		
05/11/2021 (Long Term)	6.6667	\$103.80	\$692.00	\$1.35	\$9.00	-\$683.00	-98.70%		
04/16/2021 (Long Term)	1.3333	\$150.00	\$200.00	\$1.35	\$1.80	-\$198.20	-99.10%		
03/18/2021 (Long Term)	3.3333	\$195.00	\$649.98	\$1.35	\$4.50	-\$645.48	-99.31%		
Long-term total						-\$1,817.68	--		
Total						-\$1,817.68	--		